

Independent Audit Program

Locomotive Workshop SSD 8517

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Contents

1	Version Control	3
2	Introduction	3
2.1	Independent Audit Program	3

1 Version Control

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2 Introduction

2.1 Independent Audit Program

An Independent Audit Program has been prepared for submission to the Department in accordance with the *Independent Audit Post Approval Requirements (Department 2018)*. The following Independent Audit Program has been prepared in accordance with the requirements set out in Section 2 of the document.

Program for the Conduct of Independent Environmental Audits for SSD 8517 Locomotive Workshops (Bays 1 – 4a)

Audit Number	Audit Timing	Matters to Be Audited
1	To be completed within 20 weeks of the commencement of construction. Anticipate audit to be conducted July 2019 – September 2019 assuming commencement of construction in May 2019.	<p>Audit 1 will:</p> <ul style="list-style-type: none"> a) Assess the documentation prepared prior to commencement of construction to ensure the environmental performance of the development and to manage its effects on the surrounding environment, including the community; b) Assess whether the development is complying with the terms of the consent as they apply at the time of the audit; c) Assess the environmental performance of the development, including compliance with construction environmental management plans; and d) Recommend measures or actions to improve the environmental performance of the development, and improvements to relevant documents required under the consent. <p>Conditions to be audited:</p> <ul style="list-style-type: none"> • All Part A conditions of consent (Administrative Conditions); • All Part B conditions of consent (Prior to Issue of Construction Certificate); • All Part C conditions of consent (Prior to Commencement of Works); and • All Part D conditions of consent (During Construction) – noting that some Part D conditions may not have been triggered at the time of this audit. <p>No Part E (Prior to Occupation or Commencement of Use) or Part F (Post Occupation) conditions of consent will be audited as none of these conditions will have been triggered at the time of this audit.</p>

Audit Number	Audit Timing	Matters to Be Audited
2	To be completed within 52 weeks of the completion of Audit 1 (assuming 16-month construction phase). Anticipate audit to be conducted June 2020 – August 2020 assuming completion of Audit 1 in September 2019.	<p>Audit 2 will:</p> <ul style="list-style-type: none"> a) Assess the environmental performance of the development during construction and its effects on the surrounding environment including the community, including implementation of recommended actions from Audit 1; b) Assess whether the development is complying with the terms of the consent as they apply at the time of the audit; c) Review the adequacy of relevant documents required under the consent; and d) Recommend measures or actions to improve the environmental performance of the development, and improvements to relevant documents required under the consent. <p>Conditions to be audited:</p> <ul style="list-style-type: none"> • All Part A conditions of consent (Administrative Conditions); • Part B conditions of consent (Prior to Issue of Construction Certificate) if, at the time of Audit 1, they had not been triggered; were an ongoing requirement; or were not compliant and an audit recommendation made; • Part C conditions of consent (Prior to Commencement of Works) if, at the time of Audit 1, they had not been triggered; were an ongoing requirement; or were not compliant and an audit recommendation made; • All Part D conditions of consent (During Construction); and • All Part E conditions of consent (Prior to Occupation or Commencement of Use) – it is anticipated that some Part E conditions may have been triggered at the time of this audit. <p>No Part F (Post Occupation) conditions of consent will be audited as none of these conditions will have been triggered at the time of this audit.</p>
3	To be completed within 52 weeks of the commencement of operation. Anticipate audit to be conducted July 2021 – September 2021 assuming commencement of operation in October 2020.	<p>Audit 3 will primarily cover environmental performance and consent conditions relevant to Prior to Occupation/Commencement of Use (Part E) and to Post Occupation (Part F). It will also cover the During Construction period since Audit 2 and implementation of recommended actions from Audit 2.</p> <p>Conditions to be audited:</p> <ul style="list-style-type: none"> • All Part A conditions of consent (Administrative Conditions); • Part B conditions of consent (Prior to Issue of Construction Certificate); Part C conditions of consent (Prior to Commencement of Works); and Part D conditions of consent (During Construction) if, at the time of Audit 2, they had not been triggered; were an ongoing requirement; or were not compliant and an audit recommendation made; • All Part E conditions of consent (Prior to Occupation or Commencement of Use); and • All Part F conditions of consent (Post Occupation).
<p>Given the nature of the development, regularly scheduled audits will not be required after Audit 3 unless non-compliances with recommended actions are identified in Audit 3 (noting that the Secretary can direct a further audit to be conducted if a perceived need arises).</p>		